## HAMILTON, BROOK, SMITH & REYNOLDS, P.C. DT03 Rec'd PCT/PF0 2 2 DEC 2002

## TRANSMITTAL LETTER THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 3669.1001-

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP2003/006973

INTERNATIONAL FILING DATE
01 July 2003 (01.07.2003)

PRIORITY DATE CLAIMED (Earliest) 04 July 2002 (04.07.2002)

TITLE OF INVENTION

Cross-Linked Polyvinyl Acetals

APPLICANT(S) FOR DO/EO/US

Bernd Papenfuhs, Martin Steuer and Simon Jonas

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [X] has been communicated by the International Bureau (additional copy enclosed).
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [X] is attached hereto. 👵 🔉
  - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [] are attached hereto (required only if not communicated by the International Bureau).
  - b. [] have been communicated by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [X] have not been made and will not be made.
- B. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (3 pages) with 1449 (2 pages), with references and International Search Report.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment (10 pages).
- 14. [ ] An Application Data Sheet under 37 CFR 1.76.
- 15. [ ] A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. [] A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [ ] Other items or information:

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) ATTOR / EP2003/006973 ATTOR / ACTOR /								
21. The follow	ving fees are sub	mitted:						
[X] a) Basic national fee							\$ 300	
[X] b) Examination fee							S 200	
[X] c) Search fee							\$ 500	
			VE CALCULATIONS			00.00	\$ 1,000	
[ ] Additional	fee for specifica	tion and	drawings filed in paper	r over 100 sh	ieets			
medium).	The fee is \$250	for each	outer program listing fil additional 50 sheets of	paper or frac	tronic	nereof.		
Total Sheets			er of each additional 50 or fraction of (round up to a whole number)			TE		
- 100 =	/50 =					50.00	0	
Surcharge of \$1: earliest claimed	30.00 for furnish priority date (37	om the	\$					
CLAIMS NUMBER FIL		FILED	D NUMBER EXTRA R		ATE			
		- 20 =	0	x \$50.00			\$0	
Independent claims		- 3 =	0	x \$200.00			\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00							\$	
TOTAL OF ABOVE CALCULATIONS =  [ ] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above							\$1,000	
[ ] Applican are reduc	t claims small en ed by 1/2.	tity statu	s. See 37 CFR 1.27. TI	he fees indica	ated at	oove	\$	
SUBTOTAL =							\$1,000	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$	
TOTAL NATIONAL FEE =							\$1,000	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$	
TOTAL FEES ENCLOSED =							\$1,000	
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